

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 12 2000

GROUP 1700

Applicant : Kambe et al.

Serial No.: 09/558,266

Filed : April 25, 2000

For : SELF-ASSEMBLED STRUCTURES

Docket No.: N19.12-0033

Group Art Unit: 1773

Examiner:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS
BEING SENT BY U.S. MAIL, FIRST
CLASS, TO THE ASSISTANT
COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

05 DAY OF October, 2000.
Peter J. Dault
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 CFR § 1.97(b), no certification or fee is required.
2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 CFR § 1.113 or notice of allowance under 37 CFR § 1.311. Therefore, in accordance with 37 CFR § 1.97(c), submitted herewith is:

(check either A or B below)

- A. a certification as specified in 37 CFR § 1.97(e).

- B. the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c).
3. after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
- A. a certification as specified in 37 CFR § 1.97(e);
 - B. the petition fee set forth in 37 CFR § 1.17(i); and
 - C. the accompanying petition under 37 CFR § 1.97(d).

METHOD OF PAYMENT

- X No fee is required.
- Attached is a check in the amount of \$.

The Commissioner is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: Peter S. Dardi
Peter S. Dardi, Ph.D., Reg. No. 39,650
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222
Fax: (612) 334-3312

PSD:nw